Computing the inf-sup constant of the divergence

Martin Costabel

Collaboration with Monique Dauge with contributions from C. Bernardi, V. Girault, M. Crouzeix, Y. Lafranche

IRMAR, Université de Rennes 1

ICOSAHOM 2014 Salt Lake City, 23–27 June 2014

Publications



M. Dauge, C. Bernardi, M. Costabel, V. Girault *On Friedrichs constant and Horgan-Payne angle for LBB condition* Monogr. Mat. Garcia de Galdeano (2014)



M. COSTABEL, M. DAUGE

On the inequalities of Babuška–Aziz, Friedrichs and Horgan–Payne
(2013) http://fr.arxiv.org/abs/1303.6141



M. COSTABEL, M. CROUZEIX, M. DAUGE, Y. LAFRANCHE *The inf-sup constant for the divergence on corner domains* Num. Meth. for Partial Diff. Eq. (2014).

• Ω bounded domain in \mathbb{R}^d ($d \ge 1$). No regularity assumptions.

$$\beta(\Omega) = \inf_{\boldsymbol{q} \in L_{c}^{2}(\Omega)} \sup_{\boldsymbol{v} \in H_{0}^{1}(\Omega)^{d}} \frac{\int_{\Omega} \operatorname{div} \boldsymbol{v} \; \boldsymbol{q}}{|\boldsymbol{v}|_{1} \|\boldsymbol{q}\|_{0}}$$

- \circ $L^2(\Omega)$ space of square integrable functions q on Ω . Norm $\|q\|_0$
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- ullet $H^1_0(\Omega)$ closure in $H^1(\Omega)$ of $C_0^\infty(\Omega)$ (zero trace on $\partial\Omega$)
- (Semi-)Norm $|u|_1 = ||vu||_0$ equivalent to norm $||u||_{H^1(\Omega)}$
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The inf-sup Constant: Known Values

Ball in
$$\mathbb{R}^d$$
: $\sigma(\Omega) = \frac{1}{d}$ [Ellipsoids in 3D: E.&F. Cosserat 1898]

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In 2D:

Ellipse
$$\frac{x^2}{a^2} + \frac{y^2}{b^2} < 1$$
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Some other domains with simple conformal mappings, for example:

Annulus
$$a < r < 1$$
: $\sigma(\Omega) = \frac{1}{2} - \frac{1}{2} \sqrt{\frac{1 - a^2}{1 + a^2}} \frac{1}{\log 1/a}$

[Chizhonkov-Olshanskii 2000]

Always true: $0 \le \beta(\Omega) \le 1$

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Any bounded **John** domain Ω : $\beta(\Omega) > 0$ [R. Duran et al. 2006]

► Digression: Starshaped and John domains

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Rectangle of aspect ratio $a \ll 1$: $\frac{a^2}{4} \leq \sigma(\Omega_a) \leq \frac{\pi^2}{12} a^2$ and $\sigma(\Omega_a) \leq \frac{1}{2} - \frac{1}{\pi} \approx 0.18169$

The square
$$\Omega = (0,1) \times (0,1) =: \square \subset \mathbb{R}^2$$

The Square

 $\beta(\Box)$ is currently still unknown!

 $\sigma(\Box) = \frac{1}{2} - \frac{1}{\pi} \approx 0.18169... \quad (\to \beta(\Box) \approx 0.42625)$

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Conjecture 1 [Horgan-Payne 1983]

$$\sigma(\Box) = \frac{2}{7} \approx 0.2857... \quad (\rightarrow \beta(\Box) \approx 0.5345)$$

C. O. HORGAN AND L. E. PAYNE, *On inequalities of Korn, Friedrichs and Babuška-Aziz*. Arch. Rational Mech. Anal., **82** (1983), pp. 165–179.

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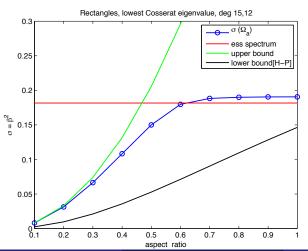
Why not simply compute it?

The inf-sup Constant: A Finite Element Computation for Rectangles

Computation on rectangles with aspect ratio 0.1...1 80 elements $(Q_{15},Q_{12}),\sim$ 30000 dof

First Cosserat eigenvalue (computed with a Stokes solver)

• $\sigma(\Omega) = \beta(\Omega)^2$ is the minimum of the Cosserat spectrum



Martin Costabel (Rennes)

Motivation: LBB condition and the Stokes system

Consider the Stokes problem for $\mathbf{u} \in \mathbf{H}_0^1(\Omega)$, $p \in L_0^2(\Omega)$:

$$-\Delta \boldsymbol{u} + \nabla \boldsymbol{p} = \mathbf{f} \qquad \text{in } \Omega$$

$$\operatorname{div} \boldsymbol{u} = 0 \qquad \text{in } \Omega$$

Pressure Stability for the Stokes problem

Let Ω be such that $\beta(\Omega) > 0$. Let C_P be the constant in the Poincaré inequality

$$\|v\|_{L^2(\Omega)} \le C_P \|\nabla v\|_{L^2(\Omega)} \qquad \forall \, v \in H_0^1(\Omega).$$

Then for $f \in L^2(\Omega)$ there exists a unique solution (u,p) of the Stokes problem, and

$$\|
abla oldsymbol{u}\|_{L^2(\Omega)} \leq C_P \|f\|_{L^2(\Omega)} \ \|oldsymbol{
ho}\|_{L^2(\Omega)} \leq rac{2C_p}{oldsymbol{eta}(\Omega)} \|f\|_{L^2(\Omega)}$$

Computing $\beta(\Omega)$: The Discrete inf-sup Constant

• Conforming discretization: $V_N \subset H_0^1(\Omega)^d$, $M_N \subset L_0^2(\Omega)$

The discrete inf-sup constant of (V_N, M_N)

$$\beta_{N} = \inf_{q \in M_{N}} \sup_{\mathbf{v} \in V_{N}} \frac{\int_{\Omega} \operatorname{div} \mathbf{v} \ q}{|\mathbf{v}|_{1} \|q\|_{0}}$$

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Galerkin approximation of the Stokes system

$$(\boldsymbol{u}_N, p_N) \in V_N \times M_N$$
:

$$\begin{split} \int_{\Omega} \nabla \boldsymbol{u}_{N} \cdot \nabla \boldsymbol{v} - \int_{\Omega} \operatorname{div} \boldsymbol{v} \; p_{N} &= \int_{\Omega} \mathbf{f} \cdot \boldsymbol{v} \quad \forall \; \boldsymbol{v} \in V_{N} \\ \int_{\Omega} \operatorname{div} \boldsymbol{u}_{N} \; \boldsymbol{q} &= 0 \qquad \quad \forall \; \boldsymbol{q} \in M_{N} \end{split}$$

Necessary and sufficient condition for stability of Galerkin scheme

$$\inf_{N} \beta_{N} = \beta_{0} > 0$$

In general, one can have No general rule known.

$$eta_{\mathsf{N}} \leq eta(\Omega)$$

or

$$\beta_N \geq \beta(\Omega)$$
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Lemma

If $(M_N)_N$ approximates $L^2_{\circ}(\Omega)$, then

$$\limsup_{N} \beta_{N} \leq \beta(\Omega)$$

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Proof: Let $q \in L^2_{\circ}(\Omega)$, $q_N \in M_N$, $q_N \to q$, $\beta_N \to \beta_{\infty}$.

$$\begin{split} \exists \, \boldsymbol{v}_{N} \in \, \boldsymbol{V}_{N} : \frac{\left\langle \operatorname{div} \, \boldsymbol{v}_{N}, \, q_{N} \right\rangle_{\Omega}}{\left| \, \boldsymbol{v}_{N} \right|_{1}} \geq \beta_{N} \| \, q_{N} \|_{0} \\ \Longrightarrow \frac{\left\langle \operatorname{div} \, \boldsymbol{v}_{N}, \, q_{N} \right\rangle_{\Omega}}{\left| \, \boldsymbol{v}_{N} \right|_{1}} = \frac{\left\langle \operatorname{div} \, \boldsymbol{v}_{N}, \, q_{N} \right\rangle_{\Omega}}{\left| \, \boldsymbol{v}_{N} \right|_{1}} - \frac{\left\langle \operatorname{div} \, \boldsymbol{v}_{N}, \, q_{N} - q \right\rangle_{\Omega}}{\left| \, \boldsymbol{v}_{N} \right|_{1}} \\ \geq \beta_{N} \| \, q_{N} \|_{0} - \| \, q_{N} - q \|_{0} \rightarrow \beta_{\infty} \| \, q \|_{0} \end{split}$$

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Well-studied question: Bound β_N from below

For *p* and *hp* version:

Triangles: Vogelius 1983, Scott-Vogelius 1985

Quads: Bernardi-Maday 1999

Stenberg-Suri 1996 Schötzau-Schwab 1999

Ainsworth-Coggins 2000, 2002

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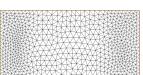
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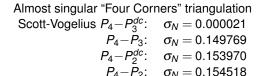
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Our question here: When is

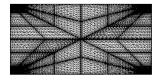
Rectangle of aspect ratio a = 0.5: $\sigma(\Omega) = 0.14996$..







Refined mesh, $P_4 - P_2$: $\sigma_N = 0.151573$ (Freefem++)

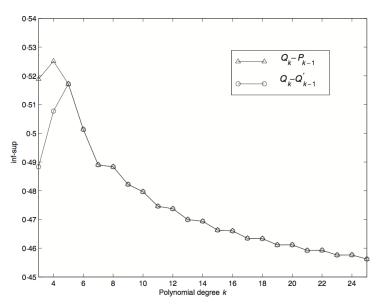


Geometric refinement at corners,

$$Q_{16}-Q_{14}$$
: $\sigma_N=0.149960$

(Melina++)

Square according to [Ainsworth-Coggins 2002] $\beta(\Omega) \leq 0.42625$.



Variation of inf-sup constants for $\mathcal{Q}_k - \mathcal{P}_{k-1}$ and $\mathcal{Q}_k - \mathcal{Q}_{k-1}^{'}$ methods on the reference element \hat{K} .

Martin Costabel (Rennes) Computing the inf-sup constant Salt Lake City, 25 Jun 2014

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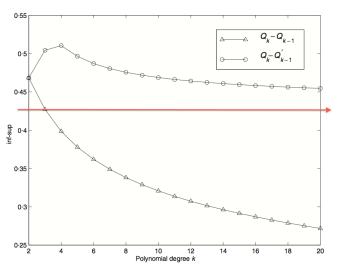
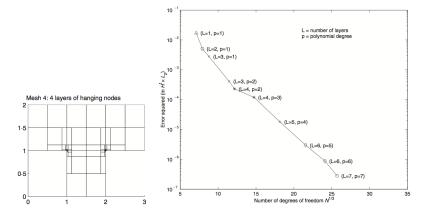


Fig. 3. Inf-sup constants for the generalized Taylor–Hood elements $\mathcal{Q}_k - \mathcal{Q}_{k-1}$ and the new family $\mathcal{Q}_k - \mathcal{Q}_{k-1}'$ analysed in the text.

hp for Stokes according to [Ainsworth-Coggins 2002]



[Eugène & François Cosserat 1898]

Find $\mathbf{u} \in H^1_0(\Omega) \setminus \{0\}$, $\sigma \in \mathbb{C}$ such that

$$\sigma \Delta \boldsymbol{u} - \nabla \operatorname{div} \boldsymbol{u} = 0$$
.

Their aim: Solving the Lamé Dirichlet problem by eigenfunction expansion.

For $\sigma \neq 0$, equivalent eigenvalue problem:

$$\operatorname{div} \Delta^{-1} \nabla q = \sigma q \qquad \text{in } L^2_{\circ}(\Omega).$$

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The Cosserat eigenvalue problem is a Stokes eigenvalue problem

Find
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, $p \in L^2_{\circ}(\Omega) \setminus \{0\}$, $\sigma \in \mathbb{C}$:

$$-\Delta \boldsymbol{u} + \nabla \boldsymbol{p} = 0 \qquad \text{in } \Omega$$
$$\operatorname{div} \boldsymbol{u} = \sigma \boldsymbol{p} \qquad \text{in } \Omega$$

This is not an elliptic eigenvalue problem! $\sigma = 1$ has infinite multiplicity

$$q = \Delta \phi, \ \phi \in H_0^2(\Omega) \Rightarrow \Delta^{-1} \nabla q = \nabla \phi \Rightarrow \mathscr{S} q = q.$$

Define

$$\sigma(\Omega) = \min(\text{ Spectrum } \mathscr{S})$$

Known results [Cosserats, Nečas, Maz'ya-Mikhlin]

Ball in
$$\mathbb{R}^d$$
: $\sigma(\Omega) = \frac{1}{d}$, $\sigma_k = \frac{k}{2k+d-2}$, $k \ge 1$

Bounded Lipschitz domains: $\sigma(\Omega) > 0$

 $\sigma = 1$ is an isolated eigenvalue,

 $\sigma = \frac{1}{2}$ is accumulation point of eigenvalues

Smooth domains (C³ [Crouzeix 1997]):

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Relation with the Cosserat eigenvalue problem

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A simple relation





Reformulation as Cosserat or Stokes eigenvalue problem

Advantages

- Standard code available: Stokes + matrix eigenvalue problem
- Eigenfunctions can be looked at

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Stokes eigenvalue problem, first kind

Find $\mathbf{u} \in H_0^1(\Omega)$, $p \in L_0^2(\Omega) \setminus \{0\}$, $\sigma \in \mathbb{C}$:

$$-\Delta \boldsymbol{u} + \nabla \boldsymbol{p} = \sigma \boldsymbol{u} \qquad \text{in } \Omega$$
$$\operatorname{div} \boldsymbol{u} = 0 \qquad \text{in } \Omega$$

Known: Discrete LBB condition guarantees spectral convergence.

Stokes eigenvalue problem, second kind

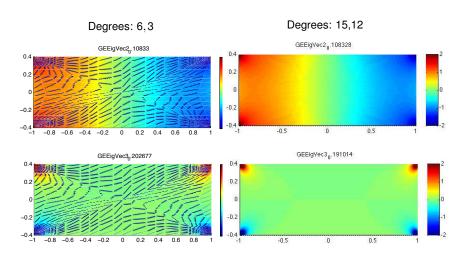
Find $\mathbf{u} \in H_0^1(\Omega)$, $p \in L_0^2(\Omega) \setminus \{0\}$, $\sigma \in \mathbb{C}$:

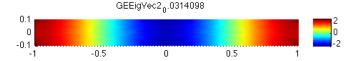
$$-\Delta \boldsymbol{u} + \nabla \boldsymbol{p} = 0 \qquad \qquad \text{in } \Omega$$

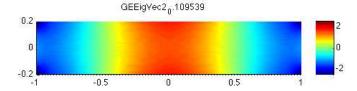
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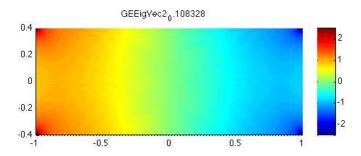
No convergence proof known.

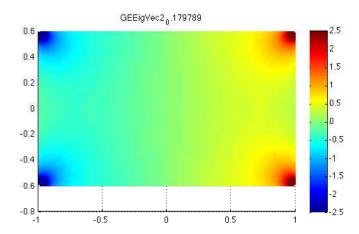
Rectangle: First 2 Cosserat eigenfunctions

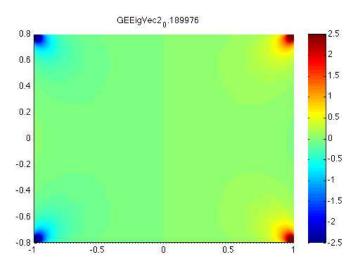


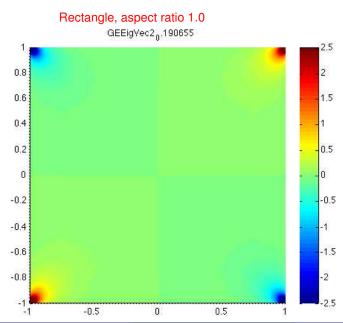




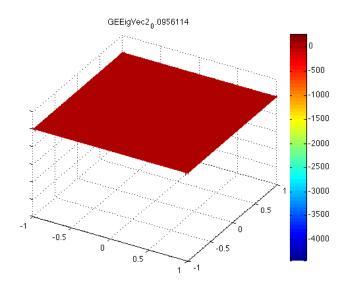




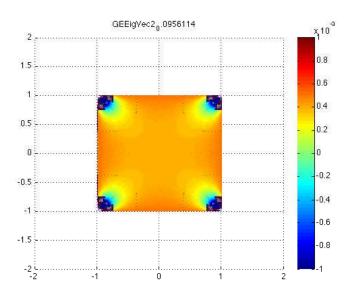




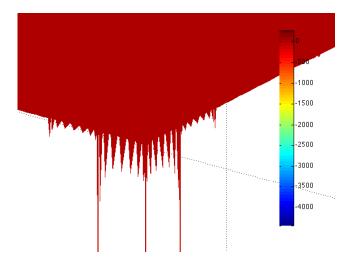
Square: First eigenfunction, (Q_{17}, Q_{16})



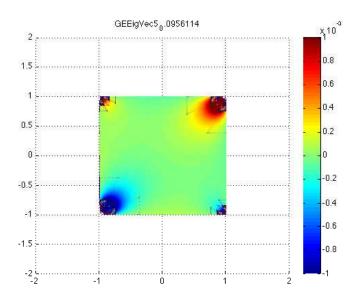
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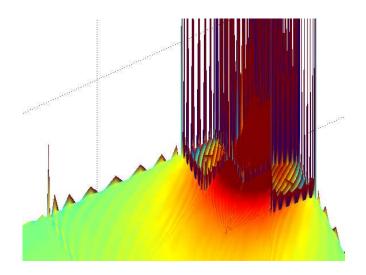
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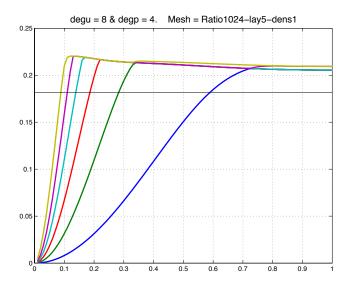
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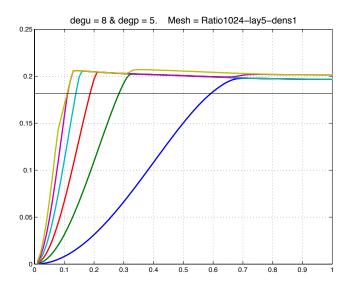
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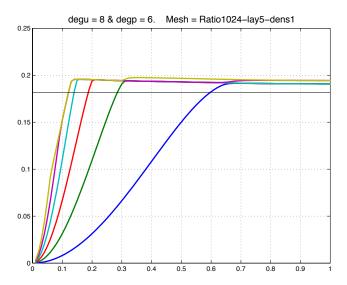
k = 8 and $\ell = 4$. Strongly refined mesh



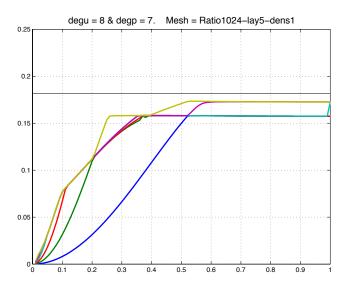
k = 8 and $\ell = 5$. Strongly refined mesh



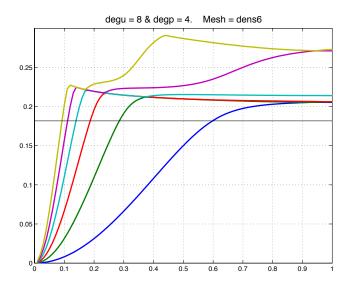
k = 8 and $\ell = 6$. Strongly refined mesh



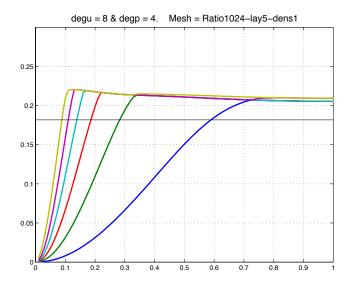
k = 8 and $\ell = 7$. Strongly refined mesh



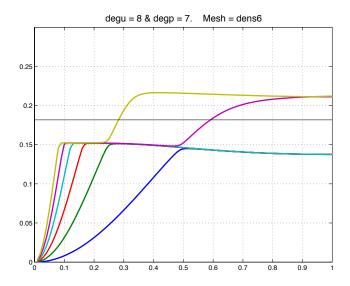
Again, for comparison: k = 8 and $\ell = 4$. Uniform grid



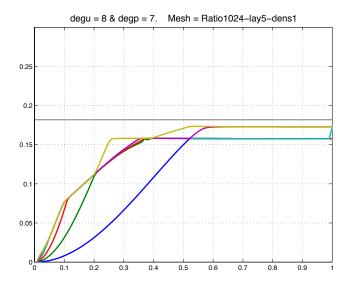
Again, for comparison: k = 8 and $\ell = 4$. Refin. mesh



Again, for comparison: k = 8 and $\ell = 7$. Uniform grid



Again, for comparison: k = 8 and $\ell = 7$. Refin. mesh



Corner singularities

For $\sigma \not\in \{0,\frac{1}{2},1\}$, the operator $A_{\sigma}=-\sigma\Delta+\nabla$ div is elliptic. If $\Omega\subset\mathbb{R}^2$ has a corner of opening ω , one can therefore determine the corner singularities via **Kondrat'ev**'s method of Mellin transformation: Look for solutions of the form $r^{\lambda}\phi(\theta)$ in a sector. $\to q \sim r^{\lambda-1}\phi(\theta)$ Characteristic equation (Lamé system, known!) for a corner of opening ω :

$$(*) \hspace{1cm} (1-2\sigma)\frac{\sin\lambda\omega}{\lambda} = \pm\sin\omega.$$

Theorem [Kondrat'ev 1967]

For $\sigma \in [0,1] \setminus \{0,\frac{1}{2},1\}$, $A_{\sigma}: H_0^1(\Omega) \to \mathbf{H}^{-1}(\Omega)$ is Fredholm iff the equation (*) has no solution on the line $\Re e \, \lambda = 0$.

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With $z = \lambda \omega$, we rewrite (*):

$$(1-2\sigma)\frac{\sin z}{z}=\pm\frac{\sin\omega}{\omega}.$$

Result:

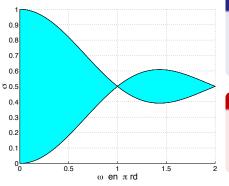
- (*) has roots on the line $\Re e \lambda = 0$ iff $|1 2\sigma| \omega \le |\sin \omega|$
- If $|1-2\sigma|\omega>|\sin\omega|$, there is a real root $\lambda\in(0,1)$

Essential spectrum: Corners

Theorem [Crouzeix, Costabel-Dauge]

 $\Omega \subset \mathbb{R}^2$ piecewise smooth with corners of opening ω_j .

$$\operatorname{Sp}_{\operatorname{ess}}(\mathscr{S}) = \bigcup_{\operatorname{corners} j} \left[\frac{1}{2} - \frac{|\sin \omega_j|}{2\omega_j}, \frac{1}{2} + \frac{|\sin \omega_j|}{2\omega_j} \right] \cup \{1\}$$



Example : Rectangle, $\omega = \frac{\pi}{2}$

$$Sp_{ess}(\mathscr{S}|_{M}) = \left[\frac{1}{2} - \frac{1}{\pi}, \frac{1}{2} + \frac{1}{\pi}\right]$$

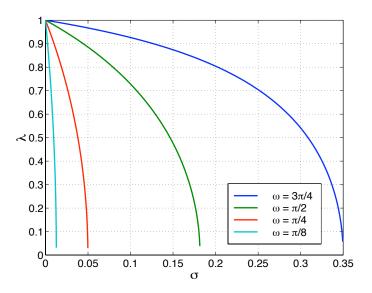
 $\approx [0.181, 0.818]$

Corollary

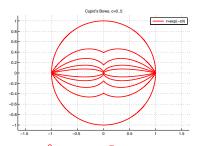
For square, rectangles, rectangular cylinders in 3D:

$$\beta(\Omega)^2 \leq \frac{1}{2} - \frac{1}{\pi}$$

Figure: Essential spectrum: σ vs. opening ω



Computations on Cupid's Bow, and H-P inequality



Logarithmic spirals: $r = e^{-c\theta}, 0 \le \theta \le \frac{\pi}{2} + \text{symmetries}$

Horgan-Payne angle: Minimal angle between radius vector and tangent

$$\omega(\Omega) = \arctan \frac{1}{c}$$

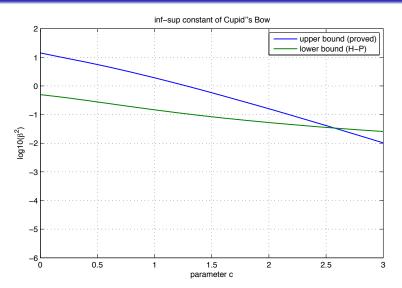
Horgan-Payne inequality: $\beta(\Omega) \ge \sin \frac{\omega(\Omega)}{2}$

$$\beta(\Omega)^2 \ge \frac{\sqrt{c^2 + 1} - c}{2\sqrt{c^2 + 1}} = \frac{1}{4c^2} + O(c^{-4})$$
 as $c \to \infty$.

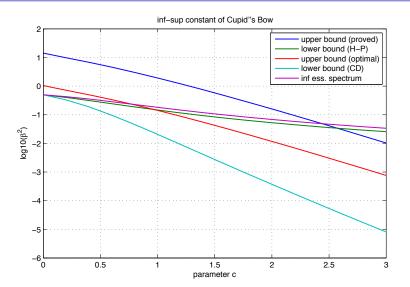
Upper bound [Costabel-Dauge 2013]

$$eta(\Omega)^2 \leq rac{128}{3} rac{c\,e^{-c\pi}}{1-e^{-c\pi}} \qquad \left(rac{128}{3}
ightarrow \pi \, ext{[Co-Da-Crouzeix]}
ight)$$

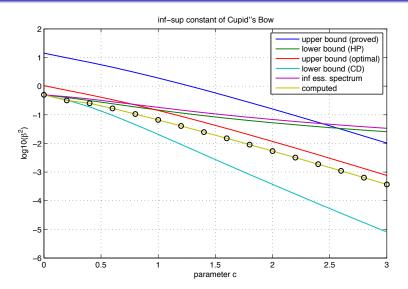
Cupid's Bow



Cupid's Bow



Cupid's Bow



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Lions' lemma

Lemma [Lions 1958, unpublished*, for smooth domains] [Nečas 1967 for Lipschitz domains]

$$\|q\|_0^2 \leq C(\Omega) |\nabla q|_{-1}^2 \qquad \forall q \in L^2_{\circ}(\Omega)$$

* According to [E. Magenes and G. Stampacchia 1958].

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 $H^{-1}(\Omega)$ dual space of $H^1_0(\Omega)$ with dual norm $|\cdot|_{-1}$: For $q \in L^2_0(\Omega)$:

$$\left| \nabla q \right|_{-1} = \sup_{\boldsymbol{v} \in H_0^1(\Omega)^d} \frac{\left\langle \nabla q, \boldsymbol{v} \right\rangle_{\Omega}}{\left| \boldsymbol{v} \right|_1} = \sup_{\boldsymbol{v} \in H_0^1(\Omega)^d} \frac{\int_{\Omega} \operatorname{div} \boldsymbol{v} \, \boldsymbol{q}}{\left| \boldsymbol{v} \right|_{1,\Omega}}$$

$$eta(\Omega) = \inf_{q \in L^2_o(\Omega)} rac{\left|
abla q
ight|_{-1}}{\left\| q
ight\|_0}$$

$$\rightarrow \boxed{C(\Omega) = \frac{1}{\beta(\Omega)^2}}$$

Lions' Lemma
$$\iff \nabla : L^2_\circ(\Omega) \to H^{-1}(\Omega)^d$$
 is injective with closed range \iff div $: H^1_0(\Omega)^d \to L^2_\circ(\Omega)$ is surjective

Babuška-Aziz inequality [Babuška-Aziz 1971], named by [Horgan-Payne 1983]

$$\Omega \text{ Lipschitz, } q \in L^2_\circ(\Omega) \quad \Longrightarrow \quad \exists \ \pmb{v} \in H^1_0(\Omega)^d : \operatorname{div} \pmb{v} = q$$

$$|\mathbf{v}|_1^2 \leq C(\Omega) \|\mathbf{q}\|_0^2$$

condition, after

Babuška [Babuška 1971-73]
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Equivalence for any domain Ω :

$$\beta(\Omega) > 0 \iff$$
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This condition (and its discrete counterpart) is called inf-sup condition or LBB condition, after

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Starshaped domains

Theorem [Bogovskii 1979], [Galdi 1994]

Let $\Omega \subset \mathbb{R}^n$ be contained in a ball of radius P, starshaped with respect to a concentric ball of radius p. There exists a constant γ_d only depending on the dimension d such that

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In dimension d=2, we can prove

$$\beta(\Omega) \geq \frac{\rho}{2R}$$

M. COSTABEL, M.DAUGE: On the inequalities of Babuška-Aziz, Friedrichs and Horgan-Payne. arXiv 2013.

John domains

Theorem [Acosta-Durán-Muschietti 2006], [Durán 2012]

Let $\Omega \subset \mathbb{R}^d$ be a bounded John domain. Then $\beta(\Omega) > 0$.

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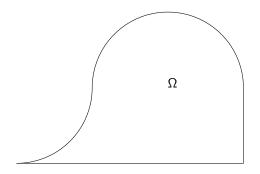


Figure: Not a John domain: Outward cusp, $\beta(\Omega) = 0$ [Friedrichs 1937]

Definition of John Domain

Definition

A domain $\Omega \subset \mathbb{R}^d$ with a distinguished point \mathbf{x}_0 is called a John domain if it satisfies the following "twisted cone" condition:

There exists a constant $\delta>0$ such that, for any ${\bf y}$ in Ω , there is a rectifiable curve $\gamma\colon [0,\ell]\to \Omega$ parametrized by arclength such that

$$\gamma(0) = \mathbf{y}, \quad \gamma(\ell) = \mathbf{x}_0, \quad \text{and} \quad \forall t \in [0, \ell] : \operatorname{dist}(\gamma(t), \partial \Omega) \ge \delta t.$$

Here $\operatorname{dist}(\gamma(t), \partial\Omega)$ denotes the distance of $\gamma(t)$ to the boundary $\partial\Omega$.

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Example: Every weakly Lipschitz domain is a John domain.

A John domain: Union of Lipschitz domains



San Juan de la Peña, Jaca 2013

A John domain: Zigzag

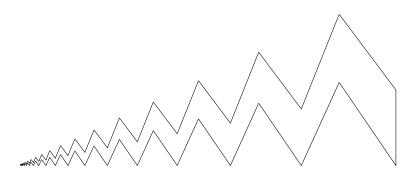


Figure: A weakly Lipschitz domain: the self-similar zigzag

John domains: Spirals

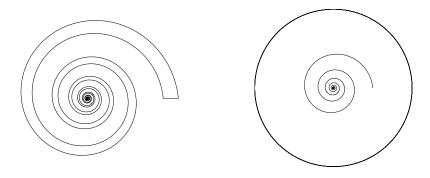


Figure: Weakly Lipschitz (left), John domain (right)

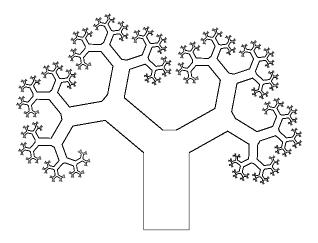


Figure: A John domain: the infinite tree

$$\begin{split} -\Delta: H^1_0(\Omega) &\to H^{-1}(\Omega) \text{ is the Riesz isometry.} \\ \text{Let } q \in L^2_\circ(\Omega). \\ & \left\langle \mathit{Sq}, q \right\rangle = \left\langle \operatorname{div} \Delta^{-1} \nabla q, q \right\rangle \\ & = \left\langle -\Delta^{-1} \nabla q, \nabla q \right\rangle \\ & = \left| \nabla q \right|_{-1}^2 \\ & = \left(\sup_{\pmb{v} \in H^1_0(\Omega)^d} \frac{\left\langle \nabla q, \pmb{v} \right\rangle}{\left| \pmb{v} \right|_1} \right)^2 \\ & \sigma(\Omega) = \inf_{q \in L^2_\circ(\Omega)} \frac{\left\langle \mathit{Sq}, q \right\rangle}{\left\langle q, q \right\rangle} = \beta(\Omega)^2 \end{split}$$

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